

## Hypervolume-based Search for Test Case Prioritization



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### Prioritizing Test Cases For Regression Testing

Gregg Rothermel, Member, IEEE Computer Society, Roland H. Untch, Member, IEEE Computer Society, Chengyun Chu, and Mary Jean Harrold, Member, IEEE Computer Society

Abstract-Test case prioritization techniques schedule test cases for execution in an order that attempts to increase their effectiveness at meeting some performance goal. Various goals are possible; one involves rate of fault detection-a measure of how quickly faults are detected within the testing process. An improved rate of fault detection during testing can provide faster feedback on the system under test and let software engineers begin correcting faults earlier than might otherwise be possible. One application of prioritization techniques involves regression testing-the retesting of software following modifications; in this context, prioritization techniques can take advantage of information gathered about the previous execution of test cases to obtain test case orderings. In this paper, we describe several techniques for using test execution information to prioritize test cases for regression testing, including: 1) techniques that order test cases based on their total coverage of code components, 2) techniques that order test cases based on their coverage of code components not previously covered, and 3) techniques that order test cases based on their estimated ability to reveal faults in the code components that they cover. We report the results of several experiments in which we applied these techniques to various test suites for various programs and measured the rates of fault detection achieved by the prioritized test suites, comparing those rates to the rates achieved by untreated, randomly ordered, and optimally ordered suites. Analysis of the data shows that each of the prioritization techniques studied improved the rate of fault detection of test suites, and this improvement occurred even with the least expensive of those techniques. The data also shows, however, that considerable room remains for improvement. The studies highlight several cost-benefit trade offs among the techniques studied, as well as several opportunities for future work.

Index Terms-Test case prioritization, regression testing, software testing, empirical studies.

#### 1 INTRODUCTION

CONTWARTEngineers often save the test suites they develop and test suite. Test suite minimization techniques (e.g., [6], Ofor their software so that they can reuse those test suites [15], [30], [37]) lower costs by reducing a test suite to a later as the software evolves. Such test suite reuse, in the minimal subset that maintains equivalent coverage of the form of regression testing, is pervasive in the software original test suite with respect to a particular test adequacy industry [24] and, together with other regression testing criterion. activities, has been estimated to account for as much as onehalf of the cost of software maintenance [4], [20]. Running techniques, however, can have drawbacks. For example, all of the test cases in a test suite, however, can require a although some empirical evidence indicates that, in certain large amount of effort. For example, one of our industrial collaborators reports that for one of its products of about test suite to reveal faults in comparison to its unminimized 20,000 lines of code, the entire test suite requires seven weeks to run.

For this reason, researchers have considered various techniques for reducing the cost of regression testing, there are safe regression test selection techniques (e.g., [3], including regression test selection, and test suite minimiza- [7], [29], [34]) that can ensure that the selected subset of a tion techniques. Regression test selection techniques (e.g., test suite has the same fault detection capabilities as the [5], [7], [21], [29]) reduce the cost of regression testing by selecting an appropriate subset of the existing test suite based on information about the program, modified version,

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Test case prioritization techniques [31], [36] provide another method for assisting with regression testing.1 These techniques let testers order their test cases so that those test cases with the highest priority, according to some criterion, are executed earlier in the regression testing

original test suite, the conditions under which safety can be

achieved do not always hold [28], [29].

Regression test selection and test suite minimization

cases, there is little or no loss in the ability of a minimized

original [37], [38], other empirical evidence shows that the

fault detection capabilities of test suites can be severely

compromised by minimization [30]. Similarly, although

process than lower priority test cases. For example, testers might wish to schedule test cases in an order that achieves code coverage at the fastest rate possible, exercises features in order of expected frequency of use, or exercises

1. Some test case prioritization techniques may be applicable during the initial testing of software [1]. In this paper, however, we are concerned only with regression testing, Section 2 discusses other applications of prioritiza-tion and related work on prioritization in further detail.

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#### Cost-cognizant Test Case Prioritization

Alexey G. Malishevsky<sup>\*</sup> Joseph R. Ruthruff<sup>†</sup> Gregg Rothermel<sup>†</sup> Sebastian Elbaum<sup>†</sup>

#### Abstract

Test case prioritization techniques schedule test cases for regression testing in an order that increases their ability to meet some performance goal. One performance goal, rate of fault detection, measures how quickly faults are detected within the testing process. Previous work has provided a metric, APFD, for measuring rate of fault detection, and techniques for prioritizing test cases in order to improve APFD. This metric and these techniques, however, assume that all test case and fault costs are uniform. In practice, test case and fault costs can vary, and in such cases the previous APPD metric and techniques designed to improve APFD can be unsatisfactory. This paper presents a new metric for assessing the rate of fault detection of prioritized test cases, APFD<sub>C</sub>, that incorporates varying test case and fault costs. The paper also describes adjustments to previous prioritization techniques that allow them, too, to be "cognizant" of these varying costs. These techniques enable practitioners to perform a new type of prioritization: cost-cognizant test case prioritization. Finally, the results of a formative case study are presented. This study was designed to investigate the cost-cognizant metric and techniques and how they compare to their non-cost-cognizant counterparts. The study's results provide insights regarding the use of cost-cognizant test case prioritization in a variety of real-world settings.

Keywords: test case prioritization, empirical studies, regression testing

#### 1 Introduction

Regression testing is an expensive testing process used to detect regression faults [30]. Regression test suites are often simply test cases that software engineers have previously developed, and that have been saved so that they can be used later to perform regression testing.

One approach to regression testing is to simply rerun entire regression test suites; this approach is referred to as the retest-all approach [25]. The retest-all approach, however, can be expensive in practice: for example, one industrial collaborator reports that for one of its products of about 20,000 lines of code, the entire test suite requires seven weeks to run. In such cases, testers may want to order their test cases such that those with the highest priority, according to some criterion, are run earlier than those with lower priority.

Test case prioritization techniques [9, 10, 11, 39, 40, 44] schedule test cases in an order that increases their effectiveness at meeting some performance goal. For example, test cases might be scheduled in an order that achieves code coverage as quickly as possible, exercises features in order of frequency of use, or reflects their historically observed abilities to detect faults.

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#### Incorporating Varying Test Costs and Fault Severities into Test Case Prioritization Alexey Malishevsky

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One potential goal of test case prioritization is to increase a test suite's me of fault detection - that is, how quickly that test suite detects faults during the testing process. An increased rate of fault detection during testing provides earlier feedback on the system under test, allowing debugging to begin earlier, and supporting faster strategic decisions about release schedules. Further, an improved rate of fault detection can increase the likelihood that if the testing period is cut short, test cases that offer the greatest fault detection ability in the available testing time will have been executed.

In previous work [2, 11] we provided a metric, APFD, which measures the average cumulative percentage of faults detected over the course of executing the test cases in a test suite in a given order. We showed how the APFD metric can be used to quantify and compare the rates of fault detection of test suites. We presented several techniques for prioritizing test cases to improve APFD during regression testing, and empirically evaluated their effectiveness. Our results indicated that several of the techniques can improve APPD, and that this improvement can occur even for the least sophisticated (and least expensive) techniques

Although successful in application to the class of problems for which they were designed, the APPD metric and techniques relied on the assumption that test costs and fault critics are uniform. In practice, however, test costs and

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### Search Algorithms for **Regression Test Case Prioritization**

Zheng Li, Mark Harman, and Robert M. Hierons

Abstract-Regression testing is an expensive, but important, process. Unfortunately, there may be insufficient resources to allow for the reexecution of all test cases during regression testing. In this situation, test case prioritization techniques aim to improve the effectiveness of regression testing by ordering the test cases so that the most beneficial are executed first. Previous work on regression test case prioritization has focused on Greedy Algorithms, However, it is known that these algorithms may produce suboptimal results because they may construct results that denote only local minima within the search space. By contrast, metaheuristic and evolutionary search algorithms aim to avoid such problems. This paper presents results from an empirical study of the application of several greedy, metaheuristic, and evolutionary search algorithms to six programs, ranging from 374 to 11,148 lines of code for three choices of fitness metric. The paper addresses the problems of choice of fitness metric, characterization of landscape modality, and determination of the most suitable search technique to apply. The empirical results replicate previous results concerning Greedy Algorithms. They shed light on the nature of the regression testing search space, indicating that it is multimodal. The results also show that Genetic Algorithms perform well, although Greedy approaches are surprisingly effective, given the multimodal nature of the landscape

Index Terms-Search techniques, test case prioritization, regression testing.

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Rothermel et al. [18] define the test case prioritization The test case prioritization problem is defined (by Rothermel et al.) as follows:

The Test Case Prioritization Problem. Given: T, a test suite; PT, the set of permutations of T; f, a function from PT to the real numbers

Problem: Find  $T' \in PT$  such that

 $(\forall T'' (T'' \in PT) (T'' \neq T') [f(T') \ge (T'')].$ 

Here, PT represents the set of all possible prioritizations (orderings) of T and f is a function that, applied to any such ordering, yields an award value for that ordering.

Test case prioritization can address a wide variety of objectives [18]. For example, concerning coverage alone, testers might wish to schedule test cases in order to achieve

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In previous work, many techniques for regression test case prioritization have been described. Most of the proposed techniques were code-based, relying on information relating test cases to coverage of code elements. In [6], [17], [18], Rothermel et al. investigated several prioritizing techniques, such as total statement (or branch) coverage prioritization and additional statement (or branch) coverage prioritization, that can improve the rate of fault detection. In [22]. Wong et al. prioritized test cases according to the criterion of "increasing cost per additional coverage." In [20], Srivastava and Thiagarajan studied a prioritization technique that was based on the changes that have been made to the program and focused on the objective function of "impacted block coverage." Other noncoverage based techniques in the literature include fault-exposing-potential (FEP) prioritization [18], history-based test prioritization [11], and the incorporation of varying test costs and fault severities into test case prioritization [5], [6].

Greedy Algorithms have been widely employed for test case prioritization. Greedy Algorithms incrementally add test cases to an initially empty sequence. The choice of

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### Integer permutation for representing a test suite



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Abstract-Regression testing is an expensive, but important, process. Unfortunately, there may be insufficient resources to allow for the reexecution of all test cases during regression testing. In this situation, test case prioritization techniques aim to improve the effectiveness of regression testing by ordering the test cases so that the most beneficial are executed first. Previous work on regression test case prioritization has focused on Greedy Algorithms, However, it is known that these algorithms may produce suboptimal results because they may construct results that denote only local minima within the search space. By contrast, metaheuristic and evolutionary search algorithms aim to avoid such problems. This paper presents results from an empirical study of the application of several greedy, metaheuristic, and evolutionary search algorithms to six programs, ranging from 374 to 11,148 lines of code for three choices of fitness metric. The paper addresses the problems of choice of fitness metric, characterization of landscape modality, and determination of the most suitable search technique to apply. The empirical results replicate previous results concerning Greedy Algorithms. They shed light on the nature of the regression testing search space, indicating that it is multimodal. The results also show that Genetic Algorithms perform well, although Greedy approaches are surprisingly effective, given the multimodal nature of the landscape

Index Terms-Search techniques, test case prioritization, regression testing.

#### 1 INTRODUCTION

software. Many approaches for improving the regression to some criterion (a "fitness metric"), are executed first.

Rothermel et al. [18] define the test case prioritization problem and describe several issues relevant to its solution. The test case prioritization problem is defined (by Rothermel et al.) as follows:

The Test Case Prioritization Problem. Given: T, a test suite; PT, the set of permutations of T; f, a function from PT to the real numbers

Problem: Find  $T' \in PT$  such that

 $(\forall T'' (T'' \in PT) (T'' \neq T') [f(T') \ge (T'')].$ 

Here, PT represents the set of all possible prioritizations (orderings) of T and f is a function that, applied to any such ordering, yields an award value for that ordering.

Test case prioritization can address a wide variety of objectives [18]. For example, concerning coverage alone, testers might wish to schedule test cases in order to achieve

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0098-5589/07/\$25.00 C 2007 IEEE

REGRESSION testing is a frequently applied but expensive code coverage at the fastest rate possible in the initial phase of regression testing to reach 100 percent coverage soonest or to ensure that the maximum possible coverage is testing processes have been investigated. Test case prioritiza- achieved by some predetermined cut-off point. Of course, tion [17], [18], [22] is one of these approaches, which orders the ideal order would reveal faults soonest, but this cannot be test cases so that the test cases with highest priority, according determined in advance, so coverage often has to serve as the most readily available surrogate. In the Microsoft Developer Network (MSDN) library, the achievement of adequate coverage without wasting time is a primary consideration when conducting regression tests [13]. Furthermore, several testing standards require branch adequate coverage, making the speedy achievement of coverage an important aspect of the regression testing process.

In previous work, many techniques for regression test case prioritization have been described. Most of the proposed techniques were code-based, relying on information relating test cases to coverage of code elements. In [6], [17], [18], Rothermel et al. investigated several prioritizing techniques, such as total statement (or branch) coverage prioritization and additional statement (or branch) coverage prioritization, that can improve the rate of fault detection. In [22]. Wong et al. prioritized test cases according to the criterion of "increasing cost per additional coverage." In [20], Srivastava and Thiagarajan studied a prioritization technique that was based on the changes that have been made to the program and focused on the objective function of "impacted block coverage." Other noncoverage based techniques in the literature include fault-exposing-potential (FEP) prioritization [18], history-based test prioritization [11], and the incorporation of varying test costs and fault severities into test case prioritization [5], [6].

Greedy Algorithms have been widely employed for test case prioritization. Greedy Algorithms incrementally add test cases to an initially empty sequence. The choice of

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### Integer permutation for representing a test suite



### Maximize AUC APBC – APDC - APSC

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### Integer permutation for representing a test suite

3	5	1	4	7	2	8	6	9
8	5	1	9	6	2	3	7	4

### Maximize AUC APBC – APDC - APSC

### TCP problem has a multi-objective nature

2012 16th European Conference on Software Maintenance and Reengineering

#### A Multi-Objective Technique to Prioritize Test Cases based on Latent Semantic Indexing

Md. Mahfuzul Islam, Alessandro Marchetto, Angelo Susi Fondazione Bruno Kessler Trento, Italy {mahfuzul.marchetto.susi}@fbk.eu Giuseppe Scanniello Università della Basilicata Potenza, Italy giuseppe.scanniello@unibas.it

Abturat—To early discover faults in source code, test case ordering has to be properly chosen. To this sain test prioritization techniques can be used. Several of these techniques leave leave out the execution cost of test cases and exploit a single objective function (e.g., code or requirements coverage). In this paper, we present a multi-objective test prioritization

In this paper, we present a multi-objective test prioritization technique that determines sequences of test cases that maximize the number of discovered faults that are both technical and business critical. The technique uses the information related to the code and requirements coverage, as well as the execution cost of the test many faree required by the recenter of the second second second second second second second reproduct against both a random prioritization techniques on two single-objective prioritization techniques on two Java applications. The results indicate that our proposal outperforms the baseline techniques and that additional improvements are still possible.

Keywords-Regression Testing; Requirements; Testing; Test Case Prioritization; Traceability.

#### I. INTRODUCTION

Regression testing aims at guaranteeing that the integration of software components and modifications to the source code does not compromise the expected behavior of the software application. Relevant activities often conducted during regression testing are [31]: (i) test selection; (ii) test minimization; and (iii) test prioritization. Test selection chooses the test cases that are relevant for a specific part of the application or for the performed maintenance operation. Test minimization reduces the number of test cases to be executed by removing redundant test cases, thus preserving the capability of the suite in discovering faults. Test prioritization determines the execution order of test cases that maximizes the probability of early discovering faults. The objective of this activity is to identify test case orderings that are effective (in terms of capability of early revealing faults) and efficient (in terms of test cases execution cost), These factors are relevant because they represent technical and business criteria for the success of a software project [11].

In this paper, we propose a novel prioritization technique. It is multi-objective and determines test case orderings

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1534-5351/12 \$26,00 © 2012 IEEE DOI 10.1109/CSMR.2012.13 to maximize the number of faults to be discovered. The technique is based on a three-dimension analysis of test cases. The structural dimension concerns information regarding test cases under analysis (i.e., how they exercise the application under test), while functional dimension regards the coverage of users' and system requirements. The latter dimension is cost and concerns the time to execute test cases. A test case ordering is achieved as a multi-objective optimization problem to balance the considered dimensions with respect to the relationships (also named traceability links in the following) among software artifacts. Since very often traceability links are not available in the project documentation, we use an Information Retrieval (IR) approach [16], namely Latent Semantic Indexing (LSI). Our approach exploits this technique to recover relationships among software artifacts (i.e., application code, test cases, and requirements specifications) and to measure their strength.

Test prioritization techniques usually consider several algorithms to prioritize test cases and are mostly based on a single dimension (e.g., code or requirements coverage). These techniques also assume that faults have the same relevance. Conversely, we identify test case orderings that early reveal both technical (e.g., coding faults) and business critical faults (e.g., due to the misunderstanding or requirements) by explicitly considering structural and functional information and the time to execute test cases.

We have evaluated the proposed technique against more traditional techniques on two applications implemented in Java. The results indicate that the new technique on average outperforms the baseline techniques in revealing both technical and business critical faults, and also show that there is room for further improvement.

The contributions of this paper are: (1) a test prioritization technique using a multi-objective optimization problem to consider three dimensions based on high and low -level information; (2) the definition of an IR-based approach to revoer traceability links among: requirements specifications, source code, and test cases. The proposed multi-objective technique is built on that approach; (3) a preliminary case study on two small lava applications to assess the validity of the technique.

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### Pareto-ranking

### Algorithms (NSGA-II)

2012 16th European Conference on Software Maintenance and Reengineering

A Multi-Objective Technique to Prioritize Test Cases based on Latent Semantic Indexing

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#### A Fine-Grained Parallel Multi-objective Test Case Prioritization on GPU

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 <sup>1</sup> Department of Computer Science Beijing University of Chemical Technology Beijing 100029, P.R. China
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Abstract. Multi-Objective Evolutionary Algorithms (MOEAs) have been widely used to address regression test optimization problems, including test case selection and test suite minimization. GPU-based parallel MOEAs are proposed to increase execution efficiency to fulfill the industrial demands. When using binary representation in MOEAs, the fitness evaluation can be transformed a parallel matrix multiplication that is implemented on GPU easily and more efficiently. Such GPUbased parallel MOEAs may achieve higher level of speed-up for test case prioritization because the computation load of fitness evaluation in test case prioritization is more than that in test case selection or test suite minimization. However, the non-applicability of binary representation in the test case prioritization results in the challenge of parallel fitness evaluation on GPU. In this paper, we present a GPU-based parallel fitness evaluation and three novel parallel crossover computation schemes based on ordinal and sequential representations, which form a fine-grained parallel framework for multi-objective test case prioritization. The empirical studies based on eight benchmarks and one open source program show a maximum of 120x speed-up achieved.

Keywords: Test Case Prioritization, Mulit-Objective Optimization, NSGA-II, GPU, CUDA.

#### 1 Introduction

### Pareto-ranking

### Algorithms (NSGA-II)

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A Multi-Objective Technique to Prioritize Test Cases based on Latent Semantic Indexing

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Keywords

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1 Introduct

ABSTRACT

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#### Empirical Evaluation of Pareto Efficient Multi-objective **Regression Test Case Prioritisation**

Michael G. Epitropakis Computing Science and Mathematics University of Stirling Stirling, UK mge@cs.stir.ac.uk

The aim of test case prioritisation is to determine an order-

ing of test cases that maximises the likelihood of early fault

revelation. Previous prioritisation techniques have tended

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> because of business imperatives, such as fixed release dates, or due to budgetary constraints. Prioritisation is an attractive way to mitigate the reduction in test effectiveness that would otherwise accompany premature test termination. In-

### Pareto-ranking

### Algorithms (NSGA-II)





Bad effectiveness as the problem dimensionality increases



Bad effectiveness as the problem dimensionality increases

For more than 3-objectives, all individuals are non-dominated → Poor Selective Pressure



Bad effectiveness as the problem dimensionality increases

For more than 3-objectives, all individuals are non-dominated → Poor Selective Pressure

No strong empirical evidence of the cost-effectiveness with respect to simpler heuristics

# Hypervolume-based Genetic Algorithm

## Hypervolume

In many-objective optimization there is a growing trend to solve manyobjective problems using **quality scalar indicators** to condense multiple objectives into a single objective.

> Auger et al. - Theory of the hypervolume indicator: optimal distributions and the choice of the reference point - FOGA 2009

## Hypervolume

In many-objective optimization there is a growing trend to solve manyobjective problems using **quality scalar indicators** to condense multiple objectives into a single objective.

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The **hypervolume** measures the quality of a set of solutions as the total size of the objective space that is dominated by one (or more) of such solutions.















It can be used as **fitness function** in a Single Objective Metaheuristic

It can be used as **fitness function** in a Single Objective

Metaheuristic

Computational Time  $O(n \cdot m)$ 

**n** test cases

*m* testing criteria

## **Empirical Evaluation**



### **Research Questions**

RQ1 : What is the **cost-effectiveness** of HGA, compared to cost-aware additional greedy algorithms?

Cost-cognizant Average Fault Detection Percentage (AFDP<sub>c</sub>)

## **Research Questions**

RQ1 : What is the **cost-effectiveness** of HGA, compared to cost-aware additional greedy algorithms?

Cost-cognizant Average Fault Detection Percentage (AFDP<sub>c</sub>)

RQ2 : What is the efficiency of HGA, compared to

cost-aware additional greedy algorithms?

Execution time (in seconds)

## Design

Comparison with Additional Greedy algorithms (20bj and 30bj)

6 programs from SIR

20 indipendent GA runs





## Results RQ1

Program		2-Objectiv	/e		3-Objective	
	p-value	Â <sub>12</sub>	magnitude	p-value	Â <sub>12</sub>	magnitude
bash	< 0.01	0.88	Large	< 0.01	0.95	Large
flex	< 0.01	0.70	Medium	< 0.01	0.75	Large
grep	< 0.01	0.85	Large	< 0.01	0.85	Large
printtokens	1	0.10	Large	1	0.10	Large
printtokens 2	1	0.30	Large	0.73	0.40	Small
sed	< 0.01	0.85	Large	0.01	0.80	Large

## **Results RO2**



## **Results RO2**



	No a priori information about faults	Use of surrogates
statement	NR-Complete problem	Approximation
	NP-Complete problem	algorithms
	test ci	150









Hypervolume-based Genetic Algorithm





## Future Work

Investigate the scalability for up than 3 testing criteria



### **Future Work**

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### Improving Multi-Objective Test Case Selection by Injecting Diversity in Genetic Algorithms

Annibale Panichella, Member, IEEE, Rocco Oliveto, Member, IEEE, Massimiliano Di Penta, Member, IEEE, and Andrea De Lucia, Senior Member, IEEE

Abstract—A way to reduce the cost of regression testing consists of selecting or prioritizing subsets of test cases from a test suite according to some criteria. Beakies needway Janothma, (MCGAs), have also been proposed to tackie this problem. However, protective optimization algorithms, and multi-objective genetic algorithms (MCGAs), have also been proposed to tackie this problem. However, protective optimization algorithms, and multi-objective genetic algorithms (MCGAs), have also been proposed to tackie this problem. However, provide to clear winner bottem constraints of the clear winner between greedy and MCGAs, and that their combination does not necessarily produce better results. In this paper we show that the optimality of MCGAs can be significantly improved by diversitying the solutions (sub-straints). Specification and the test scale process Research process. Research process, Resea

Index Terms—Test case selection, regression testing, orthogonal design, singular value decomposition, genetic algorithms, empirical studies

Investigate the scalability for up than 3 testing criteria

Incorporate diversity as a testing criteria

## Future Work

## selection minimization test case prioritization regression

Investigate the scalability for up than 3 testing criteria

Incorporate diversity as a testing criteria

Apply HGA for other test case

optimization problems

Thank You for Your Attention!

### Questions?



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